

2024 Legal, Compliance & Risk Meeting

1211 Avenue of the Americas, 38th Floor, New York, NY | November 7

THURSDAY, NOVEMBER 7

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| 12:30pm | <p>Welcome comments, Legal Compliance & Risk Committee & brainstorming exercise</p> <p>Co-Chair: Kara Brown, Chief Compliance Officer & General Counsel, Cabot Properties</p> |
| 12:50pm | <p>Marketing rule: Best practices in SEC expectations</p> <p>Session leaders: Jim Hurley, Senior Managing Director & General Counsel, Artemis Real Estate Partners; Penn Stabler, Senior Vice President, Clarion Partners; Marjan Foruzani, Legal Counsel, Unico Properties Speaker: Eva Ciko Carmen, Partner, Ropes & Gray</p> <ul style="list-style-type: none"> Hear legal strategies and participate in a discussion about recent events and insights related to the 2022 Marketing Rule, including SEC interpretive guidance, enforcement actions and examination requests. |
| 1:50pm | Break |
| 2:10pm | <p>Risk management: Definitions and governance</p> <p>Session leader: Kara Brown, Chief Compliance Officer & General Counsel, Cabot Properties Speaker: Ben Quigley, Principal, Baker Tilly</p> <ul style="list-style-type: none"> A discussion of how to start an enterprise risk management program, along with how such a program differs from a traditional compliance program and what types of issues even mature programs are challenged by. |
| 3:10pm | <p>Navigating through challenging market conditions and regulatory headwinds</p> <p>Session leaders: Emidio Morizio, Chief Compliance Officer, Madison International Realty; Todd Joseph, Chief Compliance Officer, Waterton Speaker: Igor Rozenblit, Partner, Iron Road Partners</p> <ul style="list-style-type: none"> Hear from an industry expert on the latest topics that have been a recent emphasis in real and mock exams, including valuation issues in the wake of the Insight Venture Management enforcement action, disclosure issues for vertically integrated advisers, the fee calculation implications of capitalizing costs and holding back cash, common vendor oversight pitfalls and the latest in off-channel communications. |
| 4:10pm | Break |
| 4:30pm | <p>What's on your mind?</p> <p>Session leaders: Stephanie Shack, Senior Vice President & General Counsel, Continental Realty Corporation; Jacqueline Kelly, Partner, PwC</p> <ul style="list-style-type: none"> Open mic forum for attendees to discuss timely issues with their peers. Topics could include the Corporate Transparency Act, Artificial Intelligence, implications from the most recent election and more. |
| 5:30pm | End of meeting |
| 6pm-9pm | <p>Networking dinner</p> <p>Location: Merrion Row Public House, 119 W 45th Street, New York, NY</p> |

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2024 LEGAL, COMPLIANCE & RISK COMMITTEE COMMITTEE

NAREIM thanks the 2024 Legal, Compliance & Risk Committee members, including:

Co-Chair: Kara Brown, Cabot Properties; **Co-Chair: Jeffrey Morandi**, Berkshire Residential; **Noah Hampson**, AEW Capital Management; **Jim Hurley**, Artemis Real Estate Partners; **Penn Stabler**, Clarion Partners; **Stephanie Shack**, Continental Realty Corporation; **Emidio Morizio**, Madison International Realty; **Jacqueline Kelly**, PwC; **Marjan Foruzani**, Unico Properties; **Todd Joseph**, Waterton

For 30 years, NAREIM has been the home of real estate investment management benchmarking and best practice education. Our focus is on peer-to-peer networking, where the only conversations are candid and brutally honest. You come to NAREIM to understand what is working and what is not working among peers. NAREIM is where you understand what the industry is really thinking.

NAREIM represents 10 functional areas within the real estate investment management firm, providing in-person and virtual connections for each group throughout the year:

- Architecture, Engineering & Development
- Asset Management
- Capital Raising & Investor Relations
- Data & Information Management
- DEI
- Executive Officer
- Legal, Compliance & Risk
- Portfolio Management
- Sustainability
- Talent Management

NAREIM CALENDAR 2024–2025

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|-----------------|---|-----------|
| December 4–5 | Capital Raising & Investor Relations | Austin |
| March 4–5 | Sustainability | Charlotte |
| April 30 | LatinX Real Estate Roundtable | NYC |
| June 3–4 | Asset Management | NYC |
| June 3–4 | Portfolio Management | NYC |
| July 15–16 | Talent Management | Boston |
| September 9–10 | Architecture, Engineering & Development | Dallas |
| September 17–19 | Executive Officer | TBD |
| October 7–8 | Data & Information Management | Nashville |
| November 5 | Legal, Compliance & Risk | TBD |
| December 2–3 | Capital Raising & Investor Relations | Atlanta |

Please note, virtual meetings are organized at the request of functional group committees and will be scheduled through 2025.

Please check the NAREIM website regularly for more details. www.nareim.org